

Drexel University DragonSPOT Lab Animals (IACUC) Module User Manual

Office of Research and Innovation Spring 2021

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## Introduction

The DragonSPOT IACUC Module is a streamlined way to create, submit, and revise your protocol for Drexel's IACUC approval. Once you have submitted your protocol by following the guidance below, it will pass through the review stage at which point you can make any requested changes and revise for further approval. The application is reviewed by a subset of the IACUC Committee, usually consisting of a primary and a secondary reviewer, statistician, outside member, and by the veterinarian. Once your protocol and corresponding revisions are pre-approved, it will be voted on for final approval at the monthly IACUC Meeting.

This guide is intended for researchers and PIs who are submitting an IACUC protocol. Training materials for other users and modules can be found at <a href="https://drexel.edu/research/proposal/electronic-research-administration">https://drexel.edu/research/proposal/electronic-research-administration</a>. If any IACUC-specific questions arise throughout your protocol submission process, please reach out to <a href="mailto:iacuc@drexel.edu">iacuc@drexel.edu</a>. For technical questions, please contact <a href="mailto:DragonSPOT-help@drexel.edu">DragonSPOT-help@drexel.edu</a>.

# Accessing Drexel's DragonSPOT portal

- Log into Drexel's DragonSPOT at <u>https://dragonspot.drexel.edu/</u>. Click the link under Drexel
   Connect Users: "Click here to use Drexel Connect to login with your Drexel User ID (abc123)."
  - ✓ Note: You can access DragonSPOT on any of these browsers Safari, Firefox, Chrome, or Microsoft Edge.



 You will then be taken to the Drexel Connect page where you can log in with your Drexel user ID (abc123) and password.



# Navigating the DragonSPOT Homepage

- Once you are logged in, you will see your personalized DragonSPOT homepage.
  - Note: Your homepage is customizable and you can add widgets that are most helpful to you. To configure your homepage, press the Portal Configurations button in the top right corner.



Once you configure your homepage, your settings will be saved for the future. Please see the <u>Portal Configuration Quick Guide</u> for more information on portal features and customizing your homepage.

## Creating and Submitting your IACUC Protocol

- In the left sidebar of your home screen you will see the different DragonSPOT modules available. To begin your IACUC protocol, please click on the **Laboratory Animals** button.
  - Vote: For guides on using the other modules, please visit the training website at <u>https://drexel.edu/research/proposal/electronic-research-administration</u>.

Laboratory Animals
Animal Facilities
My Projects
SPIN

## Creating an Initial Application

- You will now see the menu for the **Laboratory Animals** module. For future reference, this is where you can also create an Amendment, an Annual Review, an Adverse Event, or Copy from an existing protocol.
- Click on Initial Application under the Create New header.

DREX	EL DEV								MODULE ADMIN
Номе	MY PROFILE	LOCATE MY	Records	LOCATE RECORDS	Messages	Assignments			
Laboratory	/ Animals		Labora	tory Animals					
Animal Fac	cilities		Create Nev	v					
My Project	ts		Initial A	Application		Amendment	Annual Review	Adverse Event	
SPIN			Transit	ion Initial - Full		Copy from existing			
			Accessing	Records/Reporting					
			Locate	records by using filters		Show a listing of All my records			

• A new window will open and you can begin your protocol.

### Navigating the eForm

- When the eForm window opens, please take notice of the left-hand sidebar, which indicates the different sections of the protocol. Clicking on the tabs will jump you to the different pieces of the submission form or you can remain on the main page to navigate through one long form that is not broken out into separately paged sections. You can also view the entire form by clicking on the **All Pages** tab at the very bottom of the sidebar.
  - **V** Note: The asterisk symbol will appear next to mandatory questions on the form.



 ✓ Note: If you choose to use the sidebar to access each section individually, clicking the Next button at the bottom of each page will take you to the next section and save your data as you go.



#### Saving While You Work

- Please make note of the top bar menu, where you will be able to **Save** your protocol, in addition to the other options, such **as Finalize Form, Validate, and Submit**. The **Menu** button will allow you to print and view history as well. More details on the functionality of these headers can be *found below in the <u>Finalization, Validation, and Submission</u> section of this manual.* 
  - Note: Pressing the Validate button will tell you if you missed any mandatory fields throughout the protocol. More information can be found on the <u>Finalization, Validation,</u> <u>and Submission</u> section of this manual.
- **[Important!**: Be sure to **Save** as you go. Once you've entered data in a field, an autosave function will occur once you attempt to enter data in the subsequent field. It will not save until you attempt to answer the next question. As a best practice, please manually click the save button throughout completing your record. You will find reminders throughout this manual.



## Administrative Information Section

- The form begins with the **Administrative Information** section. Please fill out the title of your study in box #1.
  - \*Be aware that this section is mandatory.
- **[Important!**: Please make note of your Protocol Number. This will help you search for your record in the event you need to exit and return to it later.

1. ADMINISTRATIVE INFORM	ATION		
	Application to Use Animals in Resear Assurance # A3222-01 USDA Registra application instructions for more inform	ation # 23R-0180	
Protocol Number LA-21-135	Submission Number LA-21-135-01	Submission Type: Initial Application	
<b>1. Title of Your IACUC Stu</b> User Training Protocol Guide			

- Beneath the title, you will have to enter the **Financial Sponsor** of the Study.
- Please click the **pencil icon** to search for your Sponsor.



- A new window will pop up and you will be able to search for your Sponsor's name. As you start typing, suggestions will appear. When your Sponsor's name appears, please click on it. If your Sponsor is not listed, type **Other**. Once you click on the Sponsor name, click the **Select** button to add this sponsor to your form.
  - Vote: The Close button will close the box without saving your selection so be sure to click the Select button.

Sponsors	Select Close
Defa	
Default Sponsor	

 Follow the same steps for using the **pencil icon** as Question 3 to add your **Principal Investigator**. By default, you will be the Principal Investigator listed on the record. To change this, click on the **pencil icon** to the right of your name.

* 3. Principa Investigator, I Degree	al Investigator (must be faculty, postdoctoral fellow, medical/dental resident or visiting scientist) Paul
Title	Investigator
Address 1	3141 Chestnut Street
Email	chr43@drexel.edu
Phone	555-555-5555
Department	DU & ANS

- A new window will pop up and you will be able to search for the PI's name. As you start typing, suggestions will appear. When the PI's name appears, please click on it. Once you click on the PI's name, click the **Select** button to add this PI to your form.
  - Vote: The Close button will close the box without saving your selection so be sure to click the Select button...



• To complete this section, please select the Review Status of the Protocol from the drop down:



## Project Overview section

- Continue to fill out the form sections in the boxes indicated below.
  - $\circ$  **\*** Be aware that pieces of this section are mandatory.

B. PROJECT OVERVIEW
1. Non Scientific Summary: Please provide a brief, non-technical description of the research or teaching project, outlining the goals and significance of this study. This description should be understandable by <u>non-scientists</u> . Do not include technical details. Do not out and paste text from your grant. This should be no more than 5-8 lines.
*1.1 Goals
Please list project goals here.
* 1.2 Significance
Please list project significance here.
* 1.3 Provide a simple chronological outline or what will happen to the animals in this study. Do not give details; use a flow chart if necessary (e.g. animals arrive, so many days later surgery takes place, so many days after surgery they are euthanized)
Please provide a simple chronological outline here.
1.3.1 Upload Any Additional Documentation:
2. Animal model:
According to federal regulation (9CFR 2.31(e)(2), a proposal to conduct research or teaching utilizing animals "must contain a rationale for involving animals." The regulations of the Animal Welfare Act (9 CFR 2.31(3) (2)) and University policy requires that animals selected for a procedure should be of an appropriate species. The minimum number required to obtain valid results should be used
* 2.1 Why is it necessary to use laboratory animals rather than in vitro methods, human studies, computer models or other methods?
Please explain why it is necessary to use laboratory animals here.
* 2.2 Why is the animal species you have chosen most appropriate for your study?
Please explain why the species you have chosen is most appropriate for your study.

- $\circ$   $\checkmark$  Note: The  $\stackrel{\clubsuit}{=}$  icon indicates a field where you can upload supporting files.
- Clicking this button will open a new window. Use the **Choose File** button to add a specific file from your computer. When you are done, please click on the **Upload** button.

Document Upload - Google Chrome		-		×
infoed-dev.irttest.drexel.edu/S	hared/PopUpUploadDocument.asp?S	/stemId=	LA&Pro	jld
Upload Close *Document Types Must Be Define Upload new document	d In Administration Before Uploads Ca	n Be Perfo	ormed	*
Name	L.	]		
Location	Choose File No file chosen			
Category	~			
Folder	[ROOT] ¥			
Document ID				
Document Version Number				
Document Version Date				- 1
				-

## Drexel Personnel Section

- The next section is the **Personnel** section. You can add additional internal personnel in this section by using the **Add New** button. This **Add New** button follows the same instructions as the PI search section explained above. Use the **Add New** button to search for additional personnel, which will be added underneath you're the PI information. You can remove personnel by clicking the **Delete Personnel** button. You may add multiple personnel here.
  - Please remember to make sure the person selected as the Principal Investigator on the Drexel Personnel page matches with the Principal Investigator identified on the Administrative Information page.

C. DREXEL PERSONNEL Personnel - Review			
Add New			
Click here for Personal Training Info	ormation		Delete Personnel - Review
Name Investigator, Paul			
Primary Investigator Start Da	ite ir-2021	End Date	* Role
Certifications			
* Date of Occupational Health Certifie	cate:		
Please upload Occupational Health C	ertificate: 🍰		
* Date of Species Specific Training:			
Please upload species specific trainin	ig: 🏝		
* Date of CITI Base Module:			
* Date of CITI Aseptic Training:			
* Date of CITI Species Module:			
* Date of CITI Reducing Pain and Dis	stress Module		
* Responsibilities			
* Experience Pertinent to the Propos	ed Study		
Cell phone number	//		
Will be trained in your research techn  Euthanized Animals Live Animal Model Animal	iques using:		

 $\circ$  **\*** Be aware that pieces of this section are mandatory.

## Outside Investigator Section

- The Outside Investigators section allows you to add information for personnel external to Drexel. You may add multiple personnel here by clicking the **Add New** button or press the **trash can** icon to remove personnel. Use the **upload icons** to attach files.
  - **\*** Be aware that pieces of this section are mandatory.

D. OUTSIDE INVESTIGATORS	
Add New	
* Name	
* Email	
Cell Phone Number	
* Responsibilities	
* Experience Pertinent to the Protocol	
Trained in your research techniques using:	Euthanized Animals     Live Animals     Model Animal
* Date of Occupational Health Certificate:	
Please upload Occupational Health Certificate:	٤
Date of Species Specific Training	
Upload Species Specific Training Certificate	٤
Date of CITI Base Module	
* Date of CITI Aseptic Training	
* Date of CITI Species Module	
* Date of CITI Reducing Pain & Distress in	
Laboratory Mice & Rats	
Add New	
Add New	

## Animal Information Section

- In the Animal Information section, begin to fill out the form and upload any additional documentation, if necessary.
  - \*Be aware that this section is mandatory.
- The second part of the Animal Information section prompts you to add your species. click the **pencil icon** to add your species select the **Species Name.** Please click the **pencil icon** to search for your species.

ANIMAL INFORMATION		
1. Justification of Animal Numbers: Click here for Animal	mal Numbers Policy	
lease provide a justification for the number of animals you pla be used in your study and the number of animals in each gra biblications of similar work, or other means. You may upload s <u>nportant to know</u> ; You must justify all animals in the - nused. Please be sure that the numbers of animals re ven a pain category classification in the Pain and Dir isposition of Animals Section.	oup. In your justification upporting documents a study, including tho equested in the quest	n, use power analyses, previous as needed. se used for breeding and tho stion below are all justified,
Please justify why you need the number of animals ted above here. pload Any Additional Documentation:		
Number of Animals Requested: lease list below the total number of each species to be us Add New     Species	sed in your study for a	a three year period.
lease list below the total number of each species to be us Add New	sed in your study for a	a three year period.
lease list below the total number of each species to be us Add New * Species	sed in your study for a	a three year period.
lease list below the total number of each species to be us Add New  * Species  * Number needed for experiments * Number produced/purchased/transferred but not	eed in your study for a	a three year period.
lease list below the total number of each species to be us Add New  * Species * Number needed for experiments * Number produced/purchased/transferred but not needed for experiments		a three year period.

• Upon clicking the **pencil icon** next to species, a pop-up window will appear where you can select your species from a list, or search for the species in the search box to find it more easily in the list.



• Be sure to click on the radio button next to your species and then press the **Select** box to save your choice.

pecie		Clo
Find S	ecies: Mause (Mus musculus)	
Speci	s 🔪	
	Page 1 of 2	
F	O Amphibian (wildlife)	
F	O Avian (wildlife)	
F	O Cat	
F	O Chinchilla	
F	O Degu	
F	O Dog	
F	O Fish (laboratory species)	
F	O Fish (Wildlife)	
F	O Frog (Lithobates catesbeianus)	
F	○ Frog (Rana catesbaiana)	
F	O Frog (Xenopus species)	
F	O Frog Other	
F	O Gerbil	
F	🔘 Guinea Pig	
F	O Hamster	
F	Mouse (Mus musculus)	

- Vote: Remember that you can click **Add New** again to add additional species or press the **trash can** icon to remove species.
- ✔ Remember: Be sure to save as you go!

#### Mapped Species Section

• You will need to enter the species type one more time, along with some additional details regarding the species, as this section ensures your species information connects to the Animal Facilities module in DragonSPOT and is also needed for reporting data. Click on the **Add New** button under the **Mapped Species** section of your protocol.

MAPPED SPECIES PAGE
Species
Add New

- The same species selection screen as the one above will appear please select your species. After you click your species from the list and press the Select button, you will be prompted to enter additional information about the species. Please use the Add New, Delete, and pencil Icon to make any changes needed.
- Underneath the **Groups** header, please manually enter the **SPECIES** (ex: Rat, Mouse).
  - Please make sure to enter type in the species to match exactly with the species from the picklist
    - Please **do not** enter the strain, subspecies, or experimental group
- In Quantity, please re-enter the total number of animals from question 2 in the Animal Information Section. Please complete the other corresponding fields. Use the pencil icon to search for appropriate responses.
  - Vote: You can click Add New or Delete Groups as needed in this section as well.
- Note: If you are using more than one sex for each species, please select "Both or Either".
   Please do not make a separate group entry for each sex.
- Note: If you are using more than one location, please select "Drexel University". Please do not make a separate group entry for each location.

F. MAPPED SPECIES PAGE Species Add New Name Mouse (Mus musculu			Delete Species
➡ Groups Add New			
Species			Delete G
* Quantity	* Sex	*Location	

○ ✔ Remember: Be sure to save as you go!

### Animal Location Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses. Additionally, the **pencil icon** will also allow you to search for possible choices.
- **\****Be aware that this section is mandatory.*

G. ANIMAL LOCATION SECTION ANIMAL HOUSING	
* 1. Animal Housing Site (ULAR Lo Queen Lane Calhoun Center City Other	ication)
SATELLITE HOUSING	
<b>* 2.</b> Will any animals be kept for me ☐ Yes ☐ No	ore than 12 hours outside of ULAR Facility?
SURGERY (SURVIVAL AND NON-SU	JRVIVAL)
* 3. Will you perform any surgical p ☐ Yes ☐ No	procedures (survival or non-survival)?
PROCEDURE SITES	
* 4. Procedure Sites- Please list all procedures such as imaging, ultras Add New	l other areas where live animals will be used (including behavioral testing, and ound, and euthanasia)
* Procedure Sites (Campus)	×
* Procedure Site (room):	
	â
Add New	
TRANSPORTATION:	
<b>* 5.</b> Will you be transporting live an ☐ Yes ☐ No	nimals between buildings for any reason?
← Previous	Next >

## Non-Surgical Procedures and Breeding Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses.
- **\*** Be aware that this section is mandatory.

H. NON-SURGICAL PROCEDURES AND BREEDING
* 1. Will any animal undergo a nonsurgical procedure? □Yes □No
* 2. Will a breeding colony be maintained? □ Yes □ No
* 3. Will you be obtaining blood samples (other than during terminal procedures)? ☐ Yes ☐ No

• **V** Remember: Be sure to save as you go!

### Survival Surgery Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses.
- **\*** Be aware that this section is mandatory

1. Survival Surgery		
For Rodent Surgical Policy, Click here.		
For Non-Rodent Surgical Policy, Click here.		
For a Record Template, please click here		
×		
* 1.1 Will survival surgery be conducted on	ny animals?	

## Non-Survival Surgery Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses.
- **\****Be aware that this section is mandatory*

J. NON-SURVIVAL SURGERY		
1. Non-survival Surgery:		
* 1.1 Will any animals undergo nonsurvival surgery? ☐ Yes ☐ No		

• **V** Remember: Be sure to save as you go!

### Special Husbandry and Care Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses.
- **\*** Be aware that this section is mandatory

K. SPECIAL HUSBANDRY AND CARE			
Genetic Alteration:			
* 1. Are there any strains which have known genotypical effects that may cause animal welfare problems? ☐ Yes ☐ No			
Special Care for Animals:			
* 2. Will your research or the genetic composition of the animal lead to a condition that requires special care, such as a barrier housing, special diet, food or water restriction, limitation of environmental enrichment, or single housing of social species?			
Second Use of Animals:			
* 3. Have any of the animals to be used in your study been used for any other research or teaching protocol (other than breeding) within or outside of this university? □ Yes □ No			
Animal Restraint			
★ 4. Will any animal be kept awake and restrained for more than 60 minutes? Yes □ No			

## Anesthetics and Analgesics Section

• The section will now list your medications and you can enter additional information.

L. A	NESTHETICS AND ANALGESICS	
Se Se Dr	mplete the table below for any anesthetic, analgesic, tra e the Policy for Expired Drugs e the Policy for Dosing Volume ug Formulary of Anesthetic and Analgesic Agents for La Add New	
	Species	/
	Experimental Group	
	Drug Name (generic) - search for and select 'Other' if you are unable to locate your drug	/
	Use	<b>~</b>
	Induction Dose (mg/kg, %gas)	
	Maintenance Dose (mg/kg, %gas)	
	Route	<b>~</b>
	Frequency of Administration	
	Volume	
	Dilution factor/Diluent	
		ñ
_	Add New	

- Remember that the **Add New** button allow you to add a medication and the **trash can** icon will delete the medication. Additionally, the **pencil icon** will also allow you to search for possible choices.
- Click the **pencil icon** next to Drug Name for a pop-up window of Drugs to appear for you to choose from. Begin typing the drug and click on the correct on as it appears.
  - Note: You can use the radio buttons above the search bar to filter by All, Generic, Investigational, or Marketed Name.
- When you are done, click on your drug and press **Select** to save your choice.

Drugs				Select	Close
	O Generic	O Investigational	O Marketed Name		
sodiu					
Sodium Pe	entobarbital (Nembutal)				

### Pain & Distress Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses. Additionally, the **pencil icon** will also allow you to search for possible choices.
- **\*** Be aware that pieces of this section are mandatory.

M. PAIN AND DISTRESS				
1. Pain Monitoring:				
<sup>★</sup> 1.1 Will you be using a volatile anesthetic agent? □ Yes □ No				
Toe Pinch     Pal				
* 1.3 Will you be using neuromuscula □Yes □ No	r blocking drugs			
2. Pain or Distress:				
* 2.1 Would any surgical or nonsurgic analgesia, or tranquilization, cause mo Yes I No	al procedures described in this protocol, if performed without anesthesia, are than momentary or slight pain or distress?			
* 2.2 Complete the following table reg Please see IACUC Policy Assigning P Add New	ardless of the answer to the previous question 2.1. an and Distress Categories on IACUC Protocols for assistance.			
* Species				
* Pain Category				
* Number of animals				
* How long after the procedure				
will relief be needed?				
Add New	_			
2.3 If there will be intentionally unallev justification why this is necessary for y	iated pain or distress, please provide in the space below scientific our research.			
,	//			
2.4 If you indicated that you will be all When first noticed Before surgery begins Immediately after surgery Before surgery ends Other	eviating pain or distress, when will this occur?			
2.5 Please describe your protocol for	monitoring the pain, distress, health, and well-being of your animals.			
2.5.1 Monitoring method				
2.5.2 Frequency	2.5.2 Frequency			
2.5.3 Criteria for removing animals from study				
2.5.4 Disposition of removed animals				
2.5.5 Responsible individual				
* 2.6 Have any animals been assigne Yes 🗆 No🗆	d to pain categories D or E?			

- Please note if you indicate you are using animals in Category D or E by selecting "Yes" to question 2.6, you will have to complete, initial, and date question #3 to confirm that you have considered alternatives to the use of animals in your study.
- \*Be aware that this section is mandatory.

* 2.6 Have any animals been assigned to pain categories D or E? Yes ⊠Nd⊐			
3. Search for Alternatives to Procedures which may cause Pain and Distress			
The federal mandate in U.S. Government Principle IV to avoid or minimize discomfort, distress, and pain in experimental animals consistent with sound scientific practices, is synonymous with a requirement to implement refinements (e.g., less invasive procedures or use of analgesia). Similarly, the mandate in U.S. Government Principle III to use the minimum number of animals necessary to obtain valid results is synonymous with a requirement to reduce animal numbers. U.S. Government Principle III further states that mathematical models, computer simulation, and in vitro biological systems should be considered, and is synonymous with a requirement to replace non-animal models wherever possible. Thus, consideration of the three "Rs" should be incorporated into IACUC review, as well as other aspects of the institution's program (e.g., investigator training). Please provide information on what sources were searched for these alternatives to painful procedures.			
Source 🗸 🗸			
Date of Search			
Years Covered by Search			
Keywords used			
1			
Add New 3.2 Direct contact with colleagues or other sources. Please indicate the source and substance of the information: 3.3 Results of Search:			
I have considered alternatives to the use of animals in my study. In addition, I have also considered alternatives to procedures that might cause more than momentary pain or distress, and I have not found any such alternatives. I have used the above methods and sources to search for alternatives.			
* Initials: * Date:			

### Other Agents Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- Remember that the **Add New** button will typically add a new section for you to fill out within the page or allow you to search for your answer in a list of responses.
- **\*** Be aware that pieces of this section are mandatory.

N. OTHER AGENTS	
1. Other Agents Nonpharmaceutical grade Expired Drugs Dosing Volume	
1.1 List any drug, cell line or vehicle used in anesthetics, analgesics, tranquilizers or neur Add New	
Species	/
Drug, cell line or vehicle name (generic)	
Vehicle (for research agents only)	
Dose (ml/kg or mg/kg)	
Frequency and length of administration (e.g. 2x per day for 4 days)	
Route of Administration	<b>~</b>
Volume used (if appropriate)	
Procedure (e.g. surgery, label)	
	Û
Add New	
* 1.2 Are there any known adverse effects of study? Yes  No	the agents listed at the dose level and frequency used in this
* 1.3 Will non-pharmaceutical grade medical compounds be used in protocol activity?	ion such as antibiotics, anesthetics, analgesics or experimental
2. Microbial Status:	
* 2.1 Will you be using cells, cell extracts, tis introduced into animals?	sues, or nonsterile biological fluids from any source that will be
* 2.2 Please include testing results:	

• **V** Remember: Be sure to save as you go!

### Postoperative, Postprocedural, and Postanesthesia Care Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to the questions will result in expanding fields which require additional information. Additionally, remember that the **pencil icon** will also allow you to search for possible choices.
- **\****Be aware that this section is mandatory.*

O. POSTOPERATIVE, POSTPROCEDURAL, AND POSTANESTHESIA CARE	
* 1. Will the animal be anesthetized for any of the procedures described above? Yes □ No□	

## Diposition of Animals Section

- Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to some of the questions will result in expanding fields which require additional information. Please use the **Add New** button and **trash can** icon to manage edits.
- **\*** Be aware that pieces of this section are mandatory.

P. C	SPOSITION OF ANIMALS				
	1. Will you euthanize animals Yes ⊟No	for any reason?			
	1.2 Will you perform cervical Yes □ No	dislocation or decapita	tion without anes	sthesia?	
	2. No euthanasia of animals: omplete the following table fo Add New	animals that will not b	e euthanized du	ring or at the concl	usion of your study.
	* Species				
	* Number not Euthanized				
	* Disposition			//	
				î	
	Add New				
en	The progressive deterioration dpoint for an experiment. In the dpoint.				

## Safety Section

• Continue filling out the checkboxes in the remaining sections. Note that responding **Yes** to the questions will result in expanding fields which require additional information.

Q. SAFETY		
For more information on submitting	a biosafety protocol <u>click here</u>	
Prior to beginning experimentation	with animals administered biohazards	, investigators will notify ULAR staff.
<ol> <li>Hazardous Agents Will hazardous agents (agents requite animals?</li> <li>Yes □ No</li> </ol>	iring University Biosafety Committee	review) be used with or administered to
Please check all hazardous agents	used on this protocol	
Chemical Agents		
4-OHT	4-thiouracil	6-OHDA (toxin)
D BRDU	CSA	Cyclosporine A
D-103 (cytotoxic)	LPS	methezoxmethanol acetate
pertussis toxin taxol	rapamycin  urethane	C tamoxifen
	Li uremane	Liother
Biological Agents	AAV lentivirus transfected	-
D AAV	cells	AAV vector
DNA Vaccines	DHM	human cell lines
human tumor cells	human umbilical stem cells	influenza
influenza, RSV	lentiviral vectors	Mouse hepatitis Virus
pertussis toxin	pseudorabies	pseudorabies virus tracer
streptococcus pneumoniae, haemophilus influenzae	transgenic malarial	Other
naemophilus influenzae	parasites	
2. Radioactive Materials Will radioactive materials be used v	the second site of the second se	
Vill radioactive materials be used v	nur or auministered to the animals?	
La res la rio		
3. X-Rays Will x-rays imaging (radiographs, flu □ Yes □ No	oroscopy, CT, etc.) be performed on	the animals?

• **V** Remember: Be sure to save as you go!

#### Assurance Statement

- Lastly, before submitting, be sure to check the assurance statement, certifying that your protocol does not unnecessarily duplicate previous experiments.
- **\*** Be aware that this section is mandatory.

search or teaching activity; that any changes in this protocol an	ccurate description of the animal care and use to be followed in the proposed I will notify the Institutional Animal Care and Use Committee (IACUC) in writing id will await IACUC approval before proceeding with animal research/teaching. I the IACUC changes in this protocol may place the institution and investigator in y regulations.
nimals (most recent version), all agarding the use of animals in te agulations for all personnel inv	visions of the Public Health Service's Guide for the Care and Use of Laboratory federal, state and local laws and regulations, and any institutional policies aching and research. I assume full responsibility for compliance with such volved with this protocol. does not unnecessarily duplicate previous experiments.
	ve your work, click the Finalize Form checkbox at the top of the page and SUBMIT

## Supporting Documentation Section

• Here you can upload any additional supporting documentation. Click the **Add New** button if you need to upload more than one file.

load Any Additio Add New	nal Documentati	on:		
Upload 🏝				
•				
î				

#### Finalization, Validation, and Submission

- **[]Important!**: When you are ready to submit, select the **Finalize Form** checkbox to confirm that you have finished completing the record and are ready to finalize.
  - **Important!**: If you do not click **Finalize Form**, your record will not properly finish its submission.



- If you missed any mandatory fields throughout the protocol, a popup box will appear stating **Incomplete mandatory field(s) found** press OK.
  - Note: Pressing the Validate button will also display any incomplete mandatory field(s). You will still need to check off the Finalize Form box to submit yoru protocol.



• A listing of what you have not completed will appear:

These Mandatory Questions need to be completed					
Page	Question				
1. Administrative Information	2. Financial Sponsor of the Study				
1. Administrative Information	6. Review Status of Protocol				
Pain and Distress	Initials:				
Pain and Distress	Date:				

- You can click on each line to jump to the corresponding section to make edits. Once you have completed the missing sections, click the Finalize Form checkbox again. If there are no errors, you are ready to submit! Press the Submit Submit button.
  - **Unportant!**: Remember, if you do not click **Finalize Form**, your record will not properly finish its submission.

• You will receive a confirmation email similar to the one below which will confirm your submission



## **Review Process**

Once you submit your protocol, it then enters the review process which takes approximately one month but may take longer if modifications are necessary. For more information, please visit the <u>IACUC</u> <u>website</u>. The review process is as follows:

- The Principal Investigator must submit the application forms to IACUC at least 15 working days before IACUC Meeting. Please see the <u>Submission Calendar</u> for new protocol submission deadlines.
- The application is reviewed prior to the meeting (pre-reviewed) by two or more reviewers.
- Pre-review comments are provided to the principal investigator at least nine working days before IACUC meeting.
- The Principal Investigator submits revised application along with a letter summarizing responses to pre-reviewers comments at least seven working days before the meeting.
- The IACUC will review the protocol at the subsequent meeting. Based on the deliberations, the IACUC will make one of the following decisions: approve, approve pending conditions, tabled, or withhold approval.

# Protocols Created by Personnel Other Than the Principal Investigator

Personnel who are not the PI can create and complete protocols as well as continuations, renewals, and amendments on behalf of the PI. However, **only the Principal Investigator can submit the protocol to the IACUC**. This is to ensure authenticity of the information and to ensure that the Principal Investigator has reviewed the application prior to submission. The process to create a protocol by personnel other than the PI is as follows:

- The personnel representative creating the protocol should create their new initial application following the instructions <u>at the beginning of this document</u>.
- By default, the person creating the application will be listed as the PI. To change this please complete the following steps:
  - Under the section C, **Drexel Personnel**, change your role to **Co-Investigator** in the **Role** dropdown box.
  - Click Add New under Personnel Review and search for the PI to add to the protocol.
     Their information will appear below where your information is listed on the page.
  - Click the checkbox next to Principal Investigator under the name of the PI and select the role of Principal Investigator under the Role dropdown box. The Primary Investigator checkbox next to your name will automatically disappear upon selecting the actual PI checkbox.
  - If the personnel completing the application is not the Principal Investigator, please remember to update the PI information in section A, the Administrative Information section.

C. DREXEL PERSONNE	L		
Personnel - Review Add New			
$\smile$			
Click here for Personal	Training Information		Delete Personnel - Review
Name Morey, Rebecca I			
Primary Investigator	Start Date	End Date	Co-Inv
	12-Apr-2021		Co-INV V
Certifications Certification Begi	n End		
Certification Begi	-		
* Date of Occupational I	Health Certificate:		
Please unload Occupatio	onal Health Certificate: 🍰		
Please upload Occupation	inai nealtí Certificate.		
* Date of Species Speci	fic Training:		
Please upload species s	pecific training: 🏦		
* Date of CITI Base Mod	to da c		
Date of CITI Base Mor	luie.		
*			
* Date of CITI Aseptic T	aining:		
Date of CITI Species I	Aodule:		
* Date of CITI Reducing	Pain and Distress Module		
* Responsibilities			
	10		
* Experience Pertinent t	o the Proposed Study		
Cell phone number			
Will be trained in your re	search techniques using:		
Euthanized Animals			
Live Animal Model Animal			
Click here for Personal	Training Information		Delete Personnel - Review
Name			
Investigator, Paul			
Primary Investigator	Start Date	End Date	* Role
	12-Apr-2021		Investigator 🗸 🕥

- Once the rest of the protocol form is complete, the representative should select **Finalize Form** and **Submit** at the top of the form.
  - Note: Only the person listed as PI can fully submit a protocol to the IACUC office. By clicking submit, the representative is submitting the protocol to the PI, not the IACUC office.
- Upon submission to the PI, the PI will see the protocol appear in their **Assignments** tab on the homepage. The PI can click the protocol name to review the protocol.

#### PI Review of the Protocol and Commenting

- The PI should review each section by clicking through the tabs in the left-hand sidebar.
  - Vote: The PI can make revisions directly by unchecking the Finalize Form box at the top of the page and making edits directly to the form.
- The PI can communicate to the representative directly though the protocol form:
  - Click on the **pencil icon** next to any question to open the message box.
    - ✓ Note: The pencil icon *I* is where you can add your comments regarding any section throughout the protocol.
  - Once you click on the **pencil icon**, please communicate your comment or requested change by writing in the message box that opens. These comments will only be visible to the PI and PI representative.
    - ✓ Note: By default, this message will be sent to the Co-I.
  - Click the **Post** button to send your message. You will then see your sent comment and can close the message box.
  - The comments panel is only visible between **PI**, **Investigator** and **Co-Investigator**. IACUC reviewers **will not** be able to see any comments made using the pencil icons.



 ✓ Note: A yellow triangle icon with an exclamation point ▲ will appear where the pencil icon had been, which reflects that a comment has been made in that spot.

<b>}</b>	Application to Use Animals in Resear Assurance # A3222-01 USDA Registr	rch and Teaching ation # 23R-0180
Please see Policies	Assurance # A3222-01 USDA Registr and Procedures Manual for more informatio	n about the review and submission process.
Protocol Number	Submission Number	2 Submission Type:
A-21-548	LA-21-548-01	Submission Type: Initial Application
* Title of Your IACUC	Study I for IACUC Coordinator on 07-Apr-2021 9:20	
New Protocol Created	for IACUC Coordinator on 07-Apr-2021 9:20	5 PM

### Once the review is complete by the PI

- If revisions are required:
  - Navigate to the **Assignment** tab at the top at the top of the record.
  - Under the Next Step/Decision dropdown, please select Co-Investigator Modifications.
  - The **Assign to** field will automatically default to the representative who submitted the protocol.
  - $\circ$  Click the I am done button.
  - Vote: The PI can also make revisions directly by unchecking "finalize form" and making edits directly to the form.

ASSIGNMENT		Next ->
REVIEW		Next -
REVIEW	ASSIGNMENT	
A. ADMINISTRATIVE INFORMATION	Hello,	
B. PROJECT OVERVIEW	Paul Investigatorhas submitted on or made modifications to protocol LA-21-564	
C. DREXEL PERSONNEL	Click Log In then Next	
D. OUTSIDE INVESTIGATORS	If Revisions are Required	
E. ANIMAL INFORMATION	<ol> <li>Click the pencil to type a comment. Click To, PI – Co-Investigator, Save, and Post</li> <li>On the Assignment tab, click Co Investigator Modifications and I am Done</li> </ol>	
F. MAPPED SPECIES PAGE	If Revisions are Not Required	
G. ANIMAL LOCATION SECTION	On the Assignment tab, click IACUC Office and I am Done	
H. NON-SURGICAL PROCEDURES AND BREEDING	After the PI Revises the Application 1. Click the Menu at the top right of the page then Form History	
I. SURVIVAL SURGERY	<ol> <li>Click the menu at the big ing in the page their form has ory</li> <li>Click To and From under Compare and Go. If all items are addressed, select 'IACUC Office' on the assignments tab and 'I am Done'. If not, send back to the PI</li> </ol>	
J. NON-SURVIVAL SURGERY		
K. SPECIAL HUSBANDRY AND CARE	Next Step/Decision: Co-Investigator Modifications	
L. ANESTHETICS AND ANALGESICS	Assign to: Investigator; Paul - DU & ANS	
M. PAIN AND DISTRESS	l am done	

- If revisions aren't required or revisions have been completed, the protocol is ready for submission to the IACUC office:
  - Navigate to the **Assignment** tab at the top at the top of the record.
  - Under the Next Step/Decision dropdown, please select IACUC Office.
  - Click the I am done button.

ASSIGNMENT	
	ASSIGNMENT
A. ADMINISTRATIVE INFORMATION	
	Hello,
B. PROJECT OVERVIEW	Rebecca I Moreyhas submitted on or made modifications to protocol 2020-111
C. DREXEL PERSONNEL	Click Log In then Next
D. OUTSIDE INVESTIGATORS	If Revisions are Required
E. ANIMAL INFORMATION	1. Click the pencil to type a comment. Click To, PI - Co-Investigator, Save, and Post
	2. On the Assignment tab, click Co Investigator Modifications and I am Done
F. MAPPED SPECIES PAGE	If Revisions are Not Required
G. ANIMAL LOCATION	
SECTION	On the Assignment tab, click IACUC Office and I am Done
H. NON-SURGICAL	After the PI Revises the Application
H. NON-SURGICAL PROCEDURES AND BREEDING	1. Olds the Manu at the tee sight of the energy lines. From Mintees
	<ol> <li>Click the Menu at the top right of the page then Form History</li> <li>Click To and From under Compare and Go. If all items are addressed, select 'IACUC Office' on the assignments tab and 'I am</li> </ol>
I. SURVIVAL SURGERY	Done'. If not, send back to the PI
J. NON-SURVIVAL SURGERY	
K. SPECIAL HUSBANDRY AND CARE	
AND CARE	apxi Step/Decision: IACUC Office
L. ANE STHETIC S AND ANALGE SICS	Assign to: Automatic as per configurations.
M. PAIN AND DISTRESS	am done

#### Making Requested Changes to the Protocol (Representative)

- If the PI requires changes prior to submission to the IACUC office, they may submit the protocol back to the representative for changes (please see the <u>PI Review and Commenting</u> section of this manual).
- The representative should click on the **Assignments** tab on their homepage and locate the record and then click on the **Record Number** to open the protocol.

	от р	EV									Module Admin	Welcome Ms Rebecca Morey	r Los
HOME MY PROPILE LOCATE MY RECORDS LOCATE RECORDS MESSAGES ASSIGNMENTS													
Sponsored Programs	Assign	mente										Open 💙 Your action ite	ems 🗸
Laboratory Animals	Drag a	column header and drop i	t here to g	oup by that column									
Animal Facilities		Module	Ŧ	Record Number	Record Owner	T	Object	T	Record Status	r	Subject <b>Y</b>	Assigned	Ŧ
My Projects	4	Lab Animals	•	2020-111	Morey, Rebecca I		Initial Application		Co-Investigator Modifications		Returned for Modifications: 2020- 111	12-Apr-2021 3:24:45 PM	^

• To edit the protocol, uncheck the **Finalize Form** box at the top of the protocol, as indicated in the instructions under the Assignment tab of the record itself. The protocol is now able to be edited and modifications can be made.

<b>Drexel</b>	Menu View PDF Finalize Former Validate
ASSIGNMENT	
REVIEW	ASSIGNMENT
A. ADMINISTRATIVE INFORMATION	Hello, Rebecca I Morey has returned this protocol to you for revisions. Please do the following:
B. PROJECT OVERVIEW	<ol> <li>Click Log In to open the application.</li> <li>Incheck Finalize Form at the top right of the page to make changes.</li> </ol>
C. DREXEL PERSONNEL	<ol> <li>Click on the triangle with the exclamation point to address the issues.</li> <li>Click no the triangle with the exclamation point to address the issues.</li> <li>Once all changes are made, check 'Finalize Form' at the top of the page, click the top left column that states Assignment, and</li> </ol>
D. OUTSIDE INVESTIGATORS	click I am done. It will then route to the PI for further review.

- If the PI has used the commenting feature:
  - A yellow triangle icon with an exclamation point 3 will appear where the pencil icon had been, which reflects that a comment has been made in that spot.
  - In this example, the yellow triangle icon next to the Study Sponsor, which indicates the PI left a comment there.

<b>OK</b> Drexel	Menu Comments Panel Extract Com	ments Finalize Form Validate Save
ASSIGNMENT	← Previous	Next ->
REVIEW	A. ADMINISTRATIVE INFORMATION	
A. ADMINISTRATIVE	Application to Use Animals in Research Assurance # A3222-01 USDA Registration	
B. PROJECT OVERVIEW	Please see Policies and Procedures Manual for more information a	about the review and submission process.
C. DREXEL PERSONNEL		
D. OUTSIDE INVESTIGATORS	Protocol Number	Submission Type:
E. ANIMAL INFORMATION	LA-21-548 LA-21-548-01	Initial Application
F. MAPPED SPECIES PAGE	New Protocol Created for IACUC Coordinator on	
G. ANIMAL LOCATION SECTION	07-Apr-2021 9:26 PM	
H. NON-SURGICAL PROCEDURES AND BREEDING	Please select the Financial Sponsor of the Study:	alout and town in the test field over
I. SURVIVAL SURGERY	If you are unable to locate your sponsor, please search for 'Other', so Sponsor Other /	elect and type in the text field your
J. NON-SURVIVAL SURGERY	2* Other Sponsor	
K. SPECIAL HUSBANDRY AND CARE	Internal	
	* Is the funding from an external sponsor?	

- By clicking on the icon, you will see that the PI noted that the representative should change the project sponsor to NIH. At this point you can make any relevant changes.
- Once all changes are complete, recheck the **Finalize Form** box and then **click I am Done** back under the initial instructions at the top of the **Assignments** section.

1	am	done
	um	uone

• **Unportant!**: If you do not recheck **Finalize Form**, your record will not properly finish its submission.

- The protocol will now appear in the PI's assignments. The PI should repeat the steps outlined in PI Review of the Protocol and Commenting section above until the protocol has been submitted by the PI to the IACUC office.
  - Vote: The entire process will need to be repeated as necessary throughout the review process.

# Protocol Review after Submission to the IACUC Office

Once you submit your protocol, it then enters the review process which takes approximately one month but may take longer if modifications are necessary. For more information, please visit the <u>IACUC</u> <u>website</u>. The review process is as follows:

- The Principal Investigator must submit the application forms to IACUC at least 15 working days before IACUC Meeting. Please see the <u>Submission Calendar</u> for new protocol submission deadlines.
- The application is reviewed prior to the meeting (pre-reviewed) by two or more reviewers.
- Pre-review comments are provided to the principal investigator at least nine working days before IACUC meeting.
- The Principal Investigator submits revised application along with a letter summarizing responses to pre-reviewers comments at least seven working days before the meeting.
- The IACUC will review the protocol at the subsequent meeting. Based on the deliberations, the IACUC will make one of the following decisions: approve, approve pending conditions, tabled, or withhold approval.

# Checking the Status of Your Protocol

• You can check the status of your protocol submission at any time by clicking the Locate My Records tab on your DragonSPOT homepage.

DREXEL DEV							
HOME MY PROFILE	LOCATE MY REC	ORDS	LOCATE RECORD	S Messages	Assignments		
Laboratory Animals	Resu	Its found:		20 🖉 items p	Switch Owner You 🗸		Export to Excel
Animal Facilities	Drac	Image: Control of the sector of the secto					
My Projects							
SPIN			Record Number	Record Owner	Record Title	Record Type	Record Status
	•	≣	P21-0001	Investigator, Paul	Full Proposal run through	Proposal	Under Development
	•	≣	LA-21-281	Investigator, Paul	New Protocol Created for Paul Investigator on 08-Mar-2021 2:56 PM	Lab Animals Protocol	In Development
	÷	≣	LA-21-229	Investigator, Paul	New Protocol Created for Paul Investigator on 01-Mar-2021 2:29 PM	Lab Animals Protocol	In Development

• Alternatively, you can search for different records you have access to by clicking the **Locate Records** tab on your DragonSPOT homepage and using the various fields to navigate.

DREXEL DEV			-	_				
Home My Profile Locate M	ly Reco	RDS	Locate	Records Messages	Assignments			
	Results	s found:	35		Sui	tch Owner You 💙		Export to Excel
Laboratory Animals				20 20 20 20 20 20 20 20 20 20 20 20 20 2				1 - 20 of 35 items
Animal Facilities		ા	2 🕞	) 📕 🛛 🖉 items p	er page			1 - 20 01 30 KENIS
I	Drag a	a colum	n header an	d drop it here to group by that	t column			
My Projects								
SPIN			Record N	umber Record Owner	Record Title		Record Type	Record Status
	×.	₽.	P21-0001	Investigator, Paul	Full Proposal run through		Proposal	Under Development
		_						
	×.	Ē	LA-21-28	1 Investigator, Paul	New Protocol Created for	Paul Investigator on 08-Mar-2021 2:56 PM	Lab Animals Protocol	In Development
	×	Ē	LA-21-3	Select 'Locate' Crit	teria			Save Get Help Close
	×	ŧ	LA-21-0	Modules available for sear		Facility Protocol 🔽 Animal Protocol		
	×.	E.	LA-21-		_	Anna Poloco	Selected field	
		-		Available fields t	o search by		Selected field	is Search
	•	E.	LA-21-1	Current Project	t Status	Record Personnel		
				Record Associa	ated Departments	Record Personnel Dep	artment	
		Ē	LA-21-1	Record Classification		Record Primary Depart	tment	
		_	LA-21-7	Record Creation		Record Primary Spons		
		Ē	0421-	Record Key W		Record Primary Spons	or Type	
		=	LA-21-1	Record Number	-	Record Status		
		=		Record Owner		Record Title		
		_		Record Owner	Primary Department			

• You can also use the Quick Find box on your homepage to search for a record number directly.

<b>N</b>	DragonS	POT DEV				WELCOME PAUL INVESTIGATOR LOGOUT
Номе	MY PROFILE	LOCATE MY RECORDS	LOCATE RECORDS	Messages	Assignments	LA-21-542

# Making Requested Changes to Your Protocol (IACUC Review)

- After the IACUC Office's review, you may receive a list of requested modification to your protocol form. To edit the form in order to make the requested changes:
  - Click on the Assignments tab and locate your record and then click on the Record Number to open your protocol.

Drag a	a column header and	drop it here to gro	up by that column				
~	Module <b>Y</b>	Record <b>Y</b> Number	Record <b>Y</b> Owner	Object <b>Y</b>	Record <b>Y</b> Status	Subject <b>Y</b>	Assigned
4	Lab Animals	LA-21-135	Morey, Rebecca I	Initial Application	IACUC Office	Protocol LA-21- 135 has been submitted or had modifications	16-Feb-2021 5:15:38 PM
	Record Title	User Tra	aining Protocol Guid	le			
4	Lab Animals	LA-21-137	Investigator, Paul	Initial Application	IACUC Office	Protocol LA-21- 137 has been submitted or had modifications	17-Feb-2021 8:53:18 AM

• Once you open your record, you will see an Assignment at the top that explains the process to you.

Hello, Your application is being returned to you for revisions or a co-investigator has completed their revisions. Please do the following: If you would like to re-aseign these new requested changes to another investigator on the protocol. 1. Click the top left column that states Assignment 2. Select 'Office Co-Investigator Modifications'
Your application is being returned to you for revisions or a co-investigator has completed their revisions. Please do the following: If you would like to re-assign these new requested changes to another investigator on the protocol. 1. Click the top left column that states Assignment 2. Select 'Office Co-Investigator Modifications'
2. Select 'Office Co-Investigator Modifications'
2. Select 'Office Co-Investigator Modifications'
3. Select the appropriate investigator and click 'I am Done'
If you will be revising the application
1. Uncheck Finalize Form at the top right of the page to make changes.
<ol> <li>Once all changes are made, check 'Finalize Form' at the top of the page, click the top left column that states Assignment, select "IACUC Office' and click I am done. It will then route to the IACUC for review.</li> </ol>
If this has been returned with changes from the Investigator.
1. Click the Menu at the top right of the page then Form History
<ol><li>Click To and From under Compare and Go. If all items are addressed, go to Assignments tab, select 'IACUC Office' and 'I am Done' If not, send back to the investigator</li></ol>
Sincerely,
IACUC Staff
Next Step/Decision: Select Step
Assign to: Please select a Status
I am done

- Uncheck the **Finalize Form** box, as indicated in the instructions in the Assignment section above, which will now allow you to edit the protocol as requested.
- Make the appropriating changes to your protocol using the **list of modification requests** sent to you from the IACUC coordinator after the IACUC review.
- Please include a cover letter with a point-by point response to the requested modification and upload it into the **supporting documents** section.
- Please remember that the comments panel is only visible between **PI**, **Investigator** and **Co-Investigator**. IACUC reviewers **will not** be able to see any comments made using the pencil icons.



- Lastly, recheck the **Finalize Form** box and then click I am Done back under the initial instructions at the top of the **Assignments** tab.
  - **Important!**: If you do not click **Finalize Form**, your record will not properly finish its submission.



I am done

## Designating a Representative to Make Modifications to your Protocol

- If a protocol needs modification after an IACUC review, the protocol and list of modifications will always be returned directly to the PI. The PI has the option of assigning a representative to modify the protocol on their behalf. The representative must be listed in the Drexel Personnel tab for them to make modifications.
  - Note: If the PI would like to assign personnel that will not be working on the protocol (i.e. only in an administrative role), please contact <u>DragonSPOT-help@drexel.edu</u> to have the person added as a delegate. This person will then have access to complete and edit protocols, but will not need to be listed as personnel.
- To open a protocol that requires modification, please follow the directions above in the <u>Making</u> <u>Requested Changes</u> section.
- Click on the **Assignments** tab and locate your record and then click on the **Record Number** to open your protocol.
- Navigate to the **Assignment** section at the top of your protocol.
  - Under the Next Step/Decision dropdown, please select Office Co Investigator Modifications.
  - Under the **Assign To** dropdown, please select the representative you wish you assign.
  - Click "I am Done".
    - Note: You can choose any person you have listed under the Drexel Personnel tab to make modifications to the protocol
    - Note: You can use the pencil tab to communicate with the representative.
       Please see the <u>PI Review of the Protocol and Commenting</u> section of this manual for instructions.

ASSIGNMENT	
	ASSIGNMENT
A. ADMINISTRATIVE INFORMATION	
B. PROJECT OVERVIEW	Hello,
B. FROSECT OVERVIEW	Your application is being returned to you for revisions or a co-investigator has completed their revisions. Please do the following: If you would like to re-assign these new requested changes to another investigator on the protocol.
C. DREXEL PERSONNEL	······································
	1. Click the top left column that states Assignment
D. OUTSIDE INVESTIGATORS	2. Select 'Office Co-Investigator Modifications'
E. ANIMAL INFORMATION	3. Select the appropriate investigator and click 'I am Done'
	If you will be revising the application
F. MAPPED SPECIES PAGE	
G. ANIMAL LOCATION	1. Uncheck Finalize Form at the top right of the page to make changes.
SECTION	<ol><li>Once all changes are made, check 'Finalize Form' at the top of the page, click the top left column that states Assignment, select 'IACUC Office' and click I am done. It will then route to the IACUC for review.</li></ol>
	ACCOUNT AND A AND
H. NON-SURGICAL PROCEDURES AND	If this has been returned with changes from the investigator.
BREEDING	
	1. Click the Menu at the top right of the page then Form History
I. SURVIVAL SURGERY	2. Click To and From under Compare and Go. If all items are addressed, go to Assignments tab, select 'IACUC Office' and 'I am
J. NON-SURVIVAL SURGERY	Done' If not, send back to the investigator
J. NON-SURVIVAL SURGERT	Sincerely,
K. SPECIAL HUSBANDRY	IACUC Staff
AND CARE	
L. ANESTHETICS AND	
ANALGESICS	Next Step/Decision C Office Co-Investigator Modificat
M. PAIN AND DISTRESS	Assign to:>Select Person< V
M. PAIN AND DISTRESS	
N. OTHER AGENTS	>Select Person<
	Lam done Member, Board - DU & ANS
O. POSTOPERATIVE, POSTPROCEDURAL, AND	
POSTANESTHESIA CARE	Reviewer, Bob Primary - DU & ANS

- The protocol will now appear in the representative's **Assignments** page.
  - The representative should complete the steps outlined in the <u>Making Requested</u> <u>Changes to Your Protocol</u> section of this manual to make the necessary modifications.
  - Once the representative is ready to submit the protocol, navigate to the **Assignments** tab of the protocol. Under the **Next Step/Decision** dropdown, select the PI's name.
  - Click the I am Done button.
- Once the protocol has been submitted, the PI should follow the instructions outlined in the <u>Protocols created by personnel other than the Principal Investigator- PI Review of the Protocol</u> <u>and Commenting</u> section of this manual.